No Grit. No Pearl.™

Prepping for your initial meeting

We are committed to a holistic planning relationship with a focus on you and your life. We will continually review and integrate your goals and financial data in the following areas:

Please provide us with copies of the following documents (if applicable)

- Asset Protection
  - ☐ Life Insurance Policies
  - ☐ Property & Casualty Insurance Policies (Home, Auto, Umbrella, etc.)
  - ☐ Health Insurance Policies
  - ☐ Long-Term Care Insurance Policies

- Disability & Income Protection
  - ☐ Tax Returns (Previous year– Federal and State)
  - ☐ Current Pay Stubs
  - ☐ Expense Summary (Quicken Reports, etc.)
  - ☐ Social Security Benefit Statements
  - ☐ Pension Plan Statements
  - ☐ Disability Policies
  - ☐ Employee Benefits Summary from Company

- Debt Management
  - ☐ Mortgages
  - ☐ Home Equity Loans
  - ☐ Other Outstanding Debts

- Investment Planning
  - ☐ Investment Account
  - ☐ Retirement Plan Statements (401k, 403b, etc.) (Roth)
  - ☐ Stock Option Statements
  - ☐ IRA Statements or Roth IRA
  - ☐ Children's Account Statements (529, Uniform Transfers to Minors Act, etc.)
  - ☐ Other Investments (Home, Personal Property, Business Interests, etc.)
  - ☐ Health Savings Account

- Estate Planning
  - ☐ Wills
  - ☐ Trusts
  - ☐ Power of Attorneys (Financial and Health Care)
  - ☐ Health Care Directives
  - ☐ Beneficiary Designations (Retirement Plans, IRAs, Life Insurance, etc.)
  - ☐ Other Legal Documents (Prenuptials, Divorce Decrees, etc.)